

# **EXHIBIT 62**

Edward H. Rosenthal  
Jeremy S. Goldman  
FRANKFURT KURNIT KLEIN & SELZ, P.C.  
488 Madison Avenue, 10th Floor  
New York, New York 10022  
Tel: (212) 980-0120  
Fax: (212) 593-9175  
erosenthal@fkks.com  
jgoldman@fkks.com

*Attorneys for Plaintiffs*

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X		
THE AUTHORS GUILD, INC., et al,	:	
	:	Index No. 11 Civ. 6351 (HB)
Plaintiffs,	:	
	:	
- against -	:	
	:	
HATHITRUST, et al.	:	
	:	
Defendants.	:	
-----X		

**OBJECTIONS AND RESPONSES OF PLAINTIFF NFFO TO  
DEFENDANTS’ FIRST SET OF INTERROGATORIES AND REQUESTS  
FOR THE PRODUCTION OF DOCUMENTS**

Plaintiff Norsk faglitterær forfatter- og oversetterforening (The Norwegian Non-Fiction Writers and Translators Association) (“Plaintiff”) hereby submits, pursuant to Rules 26, 34 and 36 of the Federal Rules of Civil Procedure and Rules 26.3 and 33.3 of the Local Rules for the Unites States District Courts for the Southern Districts of New York (the “Local Rules”), Plaintiff’s objections and responses to Defendants’ First Set of Interrogatories and Requests for the Production of Documents (“Requests”).

**GENERAL STATEMENTS**

A. Plaintiff incorporates by reference each and every General Objection set forth below into each and every specific response. From time to time a specific response may restate a

General Objection for emphasis or some other reason. The failure to include any General Objection in any specific response shall not constitute a waiver of any General Objection with respect to that request.

B. No incidental or implied admissions are intended by the responses herein. That Plaintiff has answered or objected to any interrogatory or document request should not be taken as an admission that Plaintiff accepts or admits the existence of any fact set forth or assumed by such interrogatory or document request. The fact that Plaintiff has answered part or all of any interrogatory or document request is not intended to be, and shall not be construed to be, a waiver by Plaintiff of any part of any objection to that interrogatory or document request.

C. These responses are made solely for the purpose of this action. Each response is subject to all objections as to competence, relevance, materiality, propriety, and admissibility, and to any and all other objections on any grounds that would require the exclusion of any documents produced or information provided by Plaintiff at time of trial. By responding to Defendants' interrogatories or document requests, Plaintiff does not waive any objection that may be applicable to: (1) the use, for any purpose, by Defendant of any documents, things or information provided in response to Defendants' interrogatories or document requests; or (2) the admissibility, privilege, relevancy, authenticity, or materiality of any of such documents, things or information to any issue in the case. Plaintiff expressly reserves the right to object to the use of documents or things produced, or information provided, in connection herewith during any subsequent proceeding, including the trial of this or any other action.

D. Plaintiff has not completed an investigation of all of the facts relating to this case, has not completed discovery in this action, and has not completed Plaintiff's preparation for trial. The documents and things produced, or information provided, in response to Defendants'

interrogatories or document requests are without prejudice to Plaintiff's rights to produce additional documents and things, or provide further information. Plaintiff's responses to Defendants' interrogatories and document requests are made based on Plaintiff's present information and belief predicated upon information and writings presently available to and located by Plaintiff and Plaintiff's attorneys. Accordingly, these responses are subject to supplementation and amendment should future investigation indicate that to be appropriate. Plaintiff also reserve the right to produce or use any documents or information produced and/or discovered after service of this response in support of or in opposition to any motion, in depositions, or at trial.

### **GENERAL OBJECTIONS**

A. Plaintiff objects to each of the requests seeking confidential, trade secret, or proprietary business, technical, marketing, or financial information, or any other confidential material. Plaintiff will disclose confidential information only pursuant to the terms of the Stipulated Protective Order entered or to be entered in this case. These responses are designated "CONFIDENTIAL" under the Protective Order entered or to be entered in this case.

B. Plaintiff objects to each of the requests seeking information covered by the attorney-client privilege, work product immunity, joint defense privilege, or otherwise covered by any other applicable privilege, immunity, or other protection.

C. Plaintiff objects to each of the requests to the extent it seeks documents or information that are already in Defendants' possession, are a matter of public record, or are otherwise equally available to Defendants.

D. Plaintiff objects to each of the requests with respect to which any benefit of the production to Defendants is outweighed by the burden and expense to Plaintiff, taking into account the needs of the case.

E. Plaintiff objects to each of the requests seeking through definitions and instructions to impose obligations beyond what is required in accordance with the Federal Rules of Civil Procedure, the Local Rules, applicable court orders, or stipulations or agreements of the parties (collectively, “the Rules”). Plaintiff will respond to Defendants’ requests only to the extent required by the Rules.

F. Plaintiff objects to each of the requests seeking material that Plaintiff is under an obligation to any third-party not to disclose, including documents that would require breach of a contract, protective order, settlement, or other duty to maintain confidentiality.

G. Plaintiff objects to each of the requests seeking the same information requested by one or more of Defendants’ requests for production or any interrogatory served by Defendants at any time in this case. Plaintiff will provide information or documents only once, regardless of the number of requests to which the same may be responsive.

H. Plaintiff objects to each of the requests to the extent that it seeks information not relevant to any claim or defense and/or not reasonably calculated to lead to the discovery of admissible evidence, including but not limited to, information beyond the relevant temporal and/or geographic scope of this matter.

I. Plaintiff objects to each of the requests that purports to attribute any special or unusual meaning to any technical terms or phrases.

J. Plaintiff objects to each of the requests, and to the incorporated definitions and instructions contained in such request, that purports to alter the plain meaning and/or scope of any specific request and thereby renders such request vague, ambiguous, overbroad, or uncertain.

K. Plaintiff objects to each of the requests as overbroad and unduly burdensome to the extent that it can be interpreted in such a way as to require Plaintiff to search for documents beyond Plaintiff's possession, custody, or control.

L. Plaintiffs object to each of the requests to the extent they seek legal opinions that are not properly the subject of Rule 26 discovery.

**SPECIFIC OBJECTIONS AND RESPONSES TO INTERROGATORIES**

1. *Identify each work for which [Plaintiff] is the legal or beneficial owner of a copyright or an exclusive right under a copyright that [Plaintiff] claims has been infringed by one or more of the Defendants, and provide the following information for each such work: (i) title; (ii) author; (iii) date and location of first publication; (iv) date and location of any subsequent publication; (v) the date and registration number of any U.S. copyright registration and/or renewals; and, if applicable, (vi) the specific exclusive right for which [Plaintiff] is the legal or beneficial owner; (vii) the manner in which [Plaintiff] became the legal or beneficial owner of that exclusive right; and (viii) any person or entity, including without limitation co-authors or publishers, that is not a member of [Plaintiff] but is also a legal or beneficial owner of a copyright or an exclusive right under a copyright for that work, and identify any specific exclusive right for which that person or entity is the legal or beneficial owner.*

**RESPONSE:**

N/A

2. *For each member of [Plaintiff], identify each work for which that member is a legal or beneficial owner of a copyright or an exclusive right under a copyright that [Plaintiff] claims has been infringed by one or more of the Defendants, and provide the following information for each such work: (i) title; (ii) author; (iii) date and location of first publication; (iv) date and location of any subsequent publication; (v) the date and registration number of any U.S. copyright registration and/or renewals; (vi) the name of [Plaintiff]'s member that is a legal or beneficial owner of a copyright or an exclusive right under a copyright in the work; and, if applicable, (vii) the specific exclusive right for which [Plaintiff]'s member is the legal or beneficial owner; (viii) the manner in which that member became the legal or beneficial owner of that exclusive right; and (ix) any person or entity, including without limitation co-authors or*

*publishers, that is not a member of [Plaintiff] but is also a legal or beneficial owner of a copyright or an exclusive right under a copyright for that work, and identify any specific exclusive right for which that person or entity is the legal or beneficial owner.*

**RESPONSE:**

Plaintiff objects to this interrogatory on the ground that it seeks information beyond the scope of discovery in this action, including without limitation, in that the identity of and details concerning each and every work for which each and every member of Plaintiff's organization is a legal or beneficial owner of a copyright or an exclusive right under a copyright that Plaintiff claims has been infringed by one or more of the Defendants is not necessary to establish Plaintiff's associational standing to bring a claim against Defendants for copyright infringement. Furthermore, to the extent this interrogatory seeks to require Plaintiff to identify each and every one of its members' copyrighted works and detailed information concerning those works, Plaintiff objects to the request on the grounds that it is overbroad and unduly burdensome.

Subject to and without waiving the foregoing objection or the General Objections, in response to Defendants' discovery requests, Plaintiff is willing to identify works that are responsive to this interrogatory and the categories of information requested in subparagraphs (i), (ii), (iii), (iv) and (v) for a mutually-agreeable number of randomly selected members, in addition to the works that have already been identified in response to Defendants' discovery requests separately issued to Plaintiffs Trond Andreassen and Helge Ronning.

3. *For each work identified in response to Interrogatory Nos. 1 or 2, identify the most recent date on which that work was published, in hardcopy or electronic form, for distribution and commercial sale.*

**RESPONSE:**

No works were identified in response to Interrogatory Nos. 1 or 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

4. *For each work identified in response to Interrogatory Nos. 1 or 2: (i) indicate whether any copyright in the work, or any exclusive right under a copyright in the work, was previously licensed, transferred or assigned to any person and has since reverted to the current legal or beneficial owner of such copyright or exclusive right; and, if applicable, (ii) identify the specific exclusive right that has so reverted.*

**RESPONSE:**

No works were identified in response to Interrogatory Nos. 1 or 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

5. *For each work identified in response to Interrogatory Nos. 1 or 2, identify any license or other agreement permitting or requiring the digitization of the work for any purpose—including without limitation for use in digital distribution, in an electronic database, for archiving or preservation purposes, for non-consumptive research, for full-text searching, and/or for use in formats accessible by the blind or others with print disabilities—as well as any documents concerning such license or agreement.*

**RESPONSE:**

No works were identified in response to Interrogatory Nos. 1 or 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

6. *For each work identified in response to Interrogatory No. 1, identify any past, present, or planned efforts made by, on behalf of or with the knowledge of [Plaintiff], to enter any license or other agreement allowing for the digitization of the work for any purpose—including without limitation for use in digital distribution, in an electronic database, for archiving or preservation purposes, for non-consumptive research, for full-text searching, and/or for use in formats accessible by the blind or others with print disabilities—as well as any documents concerning such efforts.*



**RESPONSE:**

No works were identified in response to Interrogatory No. 1.

7. *For each work identified in response to Interrogatory No. 2, identify any past, present, or planned efforts made by, on behalf of or with the knowledge of [Plaintiff], to enter any license or other agreement allowing for the digitization of the work for any purpose—including without limitation for use in digital distribution, in an electronic database, for archiving or preservation purposes, for non-consumptive research, for full-text searching, and/or for use in formats accessible by the blind or others with print disabilities—as well as any documents concerning such efforts.*

**RESPONSE:**

No works were identified in response to Interrogatory No. 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

8. *For each work identified in response to Interrogatory Nos. 1 or 2, identify any harm that has occurred or is expected to occur to any market or potential market for that work by virtue of Defendants' alleged conduct described in the First Amended Complaint, including without limitation the identification of (i) the market or potential market at issue (by name and/or brief description); (ii) any licensee or potential licensee of the work within that market; and (iii) all documents concerning the alleged harm that has occurred or is expected to occur.*

**RESPONSE:**

No works were identified in response to Interrogatory Nos. 1 or 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

9. *For each work identified in response to Interrogatory Nos. 1 or 2, identify any reduction to the value or potential value of that work by virtue of Defendants' alleged conduct described in the First Amended Complaint, including without limitation the identification of (i) the decline in value, measured in dollars, of each such work; and (ii) all documents and other evidence that support the alleged decline in value.*

**RESPONSE:**

No works were identified in response to Interrogatory Nos. 1 or 2. To the extent the parties agree to a random sampling process as set forth in response to Interrogatory No. 2, Plaintiff will respond to this interrogatory for any selected works at that time.

**SPECIFIC OBJECTIONS AND RESPONSES TO DOCUMENT REQUEST**

1. *Documents sufficient to identify each member of [Plaintiff].*

**RESPONSE:**

Plaintiff objects to this request to the extent that, by virtue of Defendants' definition of the term "identify," the request would require Plaintiff to identify "the present or last known address, and when referring to a natural person, additionally, the present or last known place of employment," of each and every one of its members, on the ground that it seeks confidential information that is beyond the scope of discovery in this action.

Subject to and without waiving the foregoing objection or any General Objections, Plaintiff will produce documents sufficient to identify the names of each of its members.

2. *All documents identified by you in response to Defendants' First Set of Interrogatories to Plaintiff.*

**RESPONSE:**

Subject to and without waiving any General Objections or other objections as set forth herein, Plaintiff has identified no documents in response to Defendants' First Set of Interrogatories to Plaintiff.

Dated: New York, New York  
January 26, 2012

FRANKFURT KURNIT KLEIN & SELZ, P.C.

By: /s/ Jeremy S. Goldman  
Edward H. Rosenthal

Jeremy S. Goldman  
488 Madison Avenue, 10th Floor  
New York, New York 10022  
Tel. (212) 980-0120  
Fax: (212) 593-9175  
erosenthal@fkks.com  
jgoldman@fkks.com

*Attorneys for Plaintiffs*

TO: Joseph M. Beck (admitted *pro hac vice*)  
Kilpatrick Stockton, LLP (GA)  
1100 Peachtree Street  
Suite 2800  
Atlanta, GA 30309  
Tel: (404)-815-6406  
Fax: (404)-541-3126  
Email: [jbeck@kilpatrickstockton.com](mailto:jbeck@kilpatrickstockton.com)

Joseph E. Petersen  
Kilpatrick, Stockton  
31 West 52nd. Street  
New York, NY 10019  
Tel: (212)775-8715  
Fax: (212)775-8815  
Email: [jpetersen@kilpatrickstockton.com](mailto:jpetersen@kilpatrickstockton.com)

*Attorneys for Defendants*

Nelson E. Roth  
Cornell University  
Office of University Counsel  
300 CCC Building, Garden Avenue  
Ithaca, NY 14853  
Tel: 607-255-2796  
Fax: 607-255-2794  
Email: [ner3@cornell.edu](mailto:ner3@cornell.edu)

*Attorneys for Cornell University*

**VERIFICATION**

I, Jan Terje Helmlı, General Counsel for Plaintiff Norsk faglitterær forfatter- og oversetterforening (NFF), have read the foregoing Responses to Interrogatory Numbers 1 through 9 and know their contents. The responses provided therein are true to my knowledge, and as to those matters stated upon information and belief, I believe them to be true. I verify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on January 26, 2012.

  
\_\_\_\_\_  
Jan Terje Helmlı